



RECEIVED

MAR 07 2003

1634/1

Please type plus sign (+) inside this box →

+TECH CENTER 1600/2900

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |   |                               |                  |
|---|---|-------------------------------|------------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) |   | <b>Application Number</b>     | 09/883,152       |
|   |   | <b>Filing Date</b>            | June 15, 2001    |
|   |   | <b>First Named Inventor</b>   | KENNEDY, GIULIA  |
|   |   | <b>Group Art Unit</b>         | 1634             |
|   |   | <b>Examiner Name</b>          | SAKELARIS, SALLY |
| <b>Total Number of Pages in This Submission</b>   | 6 | <b>Attorney Docket Number</b> | 2300-1663        |

**ENCLOSURES (check all that apply)**

|   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached   | <input type="checkbox"/> Assignment Papers<br>(for an Application)                            | <input type="checkbox"/> After Allowance Communication<br>to Group                                    |
| <input checked="" type="checkbox"/> Response Restriction<br>Requirement<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences                |
| <input checked="" type="checkbox"/> Extension of Time Request   | <input type="checkbox"/> Licensing-related Papers   | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)         |
| <input type="checkbox"/> Express Abandonment Request  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Information Disclosure Statement   | <input type="checkbox"/> Petition to Convert to a<br>Provisional Application                  | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Certified Copy of Priority<br>Documents  | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence<br>Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please<br>identify below):<br><b>Postcard</b> |
| <input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application   | <input type="checkbox"/> Terminal Disclaimer  |   |
| <input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53   | <input type="checkbox"/> Request for Refund   |   |
|   | <input type="checkbox"/> CD, Number of CD(s) _____  |   |
| <b>Remarks</b>  |   |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|  |  |
|--|--|
| <b>Signing Attorney/Agent<br/>(Reg. No.)</b> | JAMES S. KEDDIE, PH.D., 48,920<br>BOZICEVIC, FIELD & FRANCIS LLP |
| <b>Signature</b>                             |  |
| <b>Date</b>                                  | February 26, 2003  |

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 26, 2003.

|                              |                     |             |                   |
|------------------------------|---------------------|-------------|-------------------|
| <b>Typed or printed name</b> | Steven E. Goldstein | <b>Date</b> | February 26, 2003 |
| <b>Signature</b>             |                     |             |                   |

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 410)

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 09/883,152       |
| Filing Date          | June 15, 2001    |
| First Named Inventor | KENNEDY, GIULIA  |
| Examiner Name        | SAKELARIS, SALLY |
| Art Unit             | 1634             |
| Attorney Docket No.  | 2300-1663        |

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 50-0815

Deposit Account Name Bozicevic, Field & Francis LLP

The Commissioner authorized to: (check all that apply)

☒ Charge fees indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 1001           | 750             | 2001           | 375             | Utility filing fee     |          |
| 1002           | 330             | 2002           | 165             | Design filing fee      |          |
| 1003           | 520             | 2003           | 260             | Plant filing fee       |          |
| 1004           | 750             | 2004           | 375             | Reissue filing fee     |          |
| 1005           | 160             | 2005           | 80              | Provisional filing fee |          |

SUBTOTAL (1) 0

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | 42           | -20** = x      | =        |
| Indep. Claims      | 8            | -3** = x       | =        |
| Multiple Dependent |              |                | =        |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  |
|----------------|-----------------|----------------|-----------------|--|
| 1202           | 18              | 2202           | 9               | Claims in excess of 20                                     |
| 1201           | 84              | 2201           | 42              | Independent claims in excess of 3                          |
| 1203           | 280             | 2203           | 140             | Multiple dependent claim, if not paid                      |
| 1204           | 84              | 2204           | 42              | ** Reissue independent claims over original patent         |
| 1205           | 18              | 2205           | 9               | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ 0

\*\*or number previously paid, if greater; For Reissues, see above.

## 3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 1051           | 130             | 2051           | 65              | Surcharge - late filing fee or oath  |          |
| 1052           | 50              | 2052           | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053           | 130             | 1053           | 130             | Non-English specification  |          |
| 1812           | 2,520           | 1812           | 2,520           | For filing a request for ex parte reexamination                            |          |
| 1804           | 920*            | 1804           | 920*            | Requesting publication of SIR prior to Examination action                  |          |
| 1805           | 1,840*          | 1805           | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 1251           | 110             | 2251           | 55              | Extension for reply within first month                                     |          |
| 1252           | 410             | 2252           | 205             | Extension for reply within second month                                    |          |
| 1253           | 930             | 2253           | 465             | Extension for reply within third month                                     |          |
| 1254           | 1,450           | 2254           | 725             | Extension for reply within fourth month                                    |          |
| 1255           | 1,970           | 2255           | 985             | Extension for reply within fifth month                                     |          |
| 1401           | 320             | 2401           | 160             | Notice of Appeal   |          |
| 1402           | 320             | 2402           | 160             | Filing a brief in support of an appeal                                     |          |
| 1403           | 280             | 2403           | 140             | Request for oral hearing   |          |
| 1451           | 1,510           | 1451           | 1,510           | Petition to institute a public use proceeding                              |          |
| 1452           | 110             | 2452           | 55              | Petition to revive - unavoidable   |          |
| 1453           | 1,300           | 2453           | 650             | Petition to revive - unintentional   |          |
| 1501           | 1,300           | 2501           | 650             | Utility issue fee (or reissue)   |          |
| 1502           | 470             | 2502           | 235             | Design issue fee   |          |
| 1503           | 630             | 2503           | 315             | Plant issue fee  |          |
| 1406           | 130             | 1460           | 130             | Petitions to the Commissioner  |          |
| 1807           | 50              | 1807           | 50              | Processing fee under 37 CFR 1.17(q)  |          |
| 1806           | 180             | 1806           | 180             | Submission of Information Disclosure Stmt                                  |          |
| 8021           | 40              | 8021           | 40              | Recording each patent assignment per property (times number of properties) |          |
| 1809           | 750             | 2809           | 375             | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810           | 750             | 2810           | 375             | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801           | 750             | 2801           | 375             | Request for Continued Examination (RCE)                                    |          |
| 1802           | 900             | 1802           | 900             | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 410)

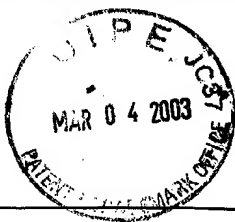
## SUBMITTED BY

| Name (Print/Type) | James S. Keddie, Ph.D. | Registration No. (Attorney/Agent) | 48,920     | Telephone | (650) 327-3400 |
|-------------------|------------------------|-----------------------------------|------------|-----------|----------------|
| Signature         | <i>James S. Keddie</i> | Date                              | 02/26/2003 |           |                |

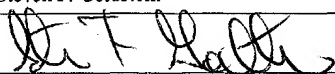
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Atty Dkt. No.: 2300-1663  
USSN: 09/883,152

| CERTIFICATE OF MAILING  |   |                      |  |
|---|---|----------------------|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231. |   |                      |  |
| Typed or Printed Name   | Steven F. Goldstein   |                      |  |
| Signature   |  | Date                 | February 26, 2003                        |
| <b>RESPONSE TO<br/>RESTRICTION<br/>REQUIREMENT</b><br><br>Address to:<br>Assistant Commissioner for Patents<br>Washington, D.C. 20231   |   | Attorney Docket      | 2300-1663                                |
|   |   | First Named Inventor | Giulia Kennedy                           |
|   |   | Application Number   | 09/883,152                               |
|   |   | Filing Date          | June 15, 2001                            |
|   |   | Group Art Unit       | 1645                                     |
|   |   | Conformation No.     | 8227                                     |
|   |   | Examiner Name        | Sally Sakalaris                          |
|   |   | Title                | POLYNUCLEOTIDES RELATING TO COLON CANCER |

Sir:

This communication is responsive to the Restriction Requirement mailed November 26, 2002, which set a one month period for response, making a response due December 26, 2002. A Petition for a Two Month Extension of Time is submitted with this amendment, making this amendment due on or before February 26, 2003. Accordingly, this response is timely filed.

**RECEIVED**  
MAR 07 2003  
TECH CENTER 1600/2900